

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

IN RE: JUUL LABS, INC. MARKETING, SALES PRACTICES, AND PRODUCTS LIABILITY LITIGATION

Case No: 19-md-02913-WHO (JSC)

CASE MANAGEMENT ORDER NO. 12:

IMPLEMENTATION ORDER FOR SUPPLEMENTAL PLAINTIFF FACT SHEET QUESTIONS AND RETAILER DEFENDANTS' FACT SHEET

HONORABLE JUDGE WILLIAM H. ORRICK

This Case Management Order ("CMO" or "Order") governs the form, schedule for completion, and service of personal injury Plaintiffs' obligations to respond to the Supplemental Plaintiff Fact Sheet ("PFS") Questions required by the Court's Order of August 6, 2020. (Dkt. No. 857.) This Order also governs the form, schedule for completion, and service of the Retailer Defendants' Fact Sheet ("DFS").

This Order applies to Defendant JUUL Labs, Inc. ("JLP"), Altria Group, Inc. and Philip Morris USA Inc. (collectively, "the Altria Defendants"), the Retailer Defendants (Chevron Corporation, Circle K Stores, Inc., Speedway LLC, 7-Eleven, Inc., Walmart, and Walgreen Co.), the Distributor Defendants (McLane Company, Inc., Eby-Brown Company, LLC, and Core-Mark Holding Company, Inc.) the E-Liquid Defendants (Mother Murphy's Labs, Inc., Alternative Ingredients, Inc., Tobacco Technology, Inc., and Eliquitech, Inc.), and the Director Defendants (Messrs. James Monsees, Adam Bowen, Nicholas Pritzker, Hoyoung Huh, and Riaz Valani) (collectively, "Defendants") and all Plaintiffs and their counsel in: (a) all actions transferred to *In re: JUUL Labs, Inc., Marketing, Sales Practices, and Products Liability Litigation* ("MDL-2913") by the Judicial Panel on Multidistrict Litigation ("JMPL") pursuant to its Order dated October 2, 2019 and (b) to all related actions directly filed in or removed to this Court.

I. ONLINE PLATFORM

The Court hereby appoints BrownGreer, PLC ("BrownGreer") to serve as the online platform for the data management of the Revised Plaintiff Fact Sheet (hereinafter "Revised PFS"), the Plaintiff

1 Fact Sheet Addendum (hereinafter "PFS Addendum"), and the Retailer Defendants' Fact Sheet 2 3 4

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(hereinafter "Retailer DFS"). BrownGreer shall work with the parties to compile all necessary data. Each party shall serve their respective responses to the Revised PFS, the PFS Addendum, or the Retailer DFS by uploading them to MDL Centrality. Uploading the responsive discovery to MDL Centrality shall constitute effective service.

II. COMPLETION OF SUPPLEMENTAL PLAINTIFF FACT SHEET QUESTIONS

Α. **Revised Plaintiff Fact Sheet**

A Plaintiff who files a case *on or after* the date of the entry of this Order shall respond to the Supplemental PFS Questions by completing and serving the Revised PFS. The Revised PFS, which contains the original forty-seven PFS questions and the two Supplemental PFS Questions, is hereby approved, and attached hereto as Exhibit 1. All provisions, procedures, and deadlines set forth in CMO No. 8 (Dkt. No. 406) are deemed to apply to and govern completion of the Revised Plaintiff Fact Sheet. The provisions, procedures, and deadlines set forth below for the PFS Addendum do not apply to the Revised PFS.

A Plaintiff whose case was filed *before* entry of this order but has not yet submitted a PFS may choose to answer the Supplemental PFS Questions through either the Revised PFS or the PFS Addendum.

В. **Plaintiff Fact Sheet Addendum**

A Plaintiff whose case was filed *before* the date of the entry of this Order and who has already submitted a PFS shall respond to the Supplemental PFS Questions by completing and serving a PFS Addendum, which the Court hereby approves by entry of this Order. See Exhibit 2.

1. **Plaintiff Verification of Supplemental PFS Questions**

Plaintiffs who signed a Declaration with submission of their PFS before entry of this Order may, but are not required to, sign a Declaration when responding to the PFS Addendum. Each Plaintiff's responses to the Supplemental PFS Questions in the PFS Addendum are deemed to be answered under oath as a continuation of each Plaintiff's signed Declaration for Plaintiff's original

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PFS. However, any Plaintiff selected for the Bellwether Discovery Pool, as defined by the Court's

Order Regarding Bellwether Selection (Dkt. No. 938), who has not signed a Declaration for the

Supplemental PFS Questions will be required to supplement their PFS Addendum to include a

signed Declaration within 30 days of selection.

2. Attorney Verification

For any Plaintiff who does not sign a Declaration on the PFS Addendum, Counsel must sign the PFS Addendum verifying that the Plaintiff provided and authorized the responses. Plaintiff Counsel's verification is not a verification as to the accuracy of the responses to the Supplemental PFS Questions. A Plaintiff not represented by an attorney must sign the verification himself or herself.

Plaintiff's Counsel must submit a completed "PFS Addendum" through MDL Centrality pursuant to the terms of this Order. The obligation to comply with this CMO and to provide either a Revised Plaintiff Fact Sheet or PFS Addendum shall fall solely to the individual counsel representing a Plaintiff. As with all case-specific discovery, Plaintiffs' Lead Counsel and the members of the Plaintiffs' Steering Committee are not obligated to conduct case-specific discovery for Plaintiffs by whom they have not been individually retained. In addition, Plaintiffs' Lead Counsel and the member of the Plaintiffs' Steering Committee have no obligation to notify counsel for Plaintiffs whom they do not represent of Defendants' notice of overdue or deficient discovery or to respond to any motion practice pertaining thereto.

3. Discovery Mechanism

The effect of a Plaintiff's responses to the Supplemental PFS Questions in the PFS Addendum or Revised PFS shall be considered the same as interrogatory responses and will be governed by the standards applicable to written discovery under the Federal Rules of Civil Procedure.

A PFS Addendum is served without prejudice to the Parties' right to propound additional discovery. The Parties have agreed that additional discovery requests are appropriate for those cases that are chosen by the Parties and/or Court as potential bellwether candidates. The Parties do not waive their rights to assert objections permitted under the Federal Rules of Civil Procedure to any additional discovery.

4. Substantial Completeness of PFS Addendum

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The PFS Addendum must be substantially complete, which means a Plaintiff must (a) answer all applicable questions (Plaintiffs may answer questions in good faith by indicating "not applicable," "I don't know," or "unknown"); and (b) include either a signed Declaration from Plaintiff or Plaintiff Counsel's signature verifying the responses provided are the responses of the Plaintiff. Responses such as "will supplement" or blanks will not constitute substantial completeness. If a Defendant considers a PFS Addendum to be materially deficient, a deficiency notice outlining the purported deficiency(ies) shall be served on the Plaintiff's attorney of record via MDL Centrality. Plaintiff will have fifteen (15) days to correct the alleged deficiency(ies).

5. Objections Reserved to PFS Addendum

All objections to the admissibility of information contained in the PFS Addendum are reserved; therefore, no objections shall be lodged in the responses to the questions and requests contained therein. This paragraph, however, does not prohibit a Plaintiff from withholding or redacting information based upon a recognized privileged.

6. Confidentiality of Data

Information a Plaintiff provides in the PFS Addendum is deemed confidential, will only be used for purposes related to this litigation, and may be disclosed only as permitted by the Protective Order.

7. Scope of Depositions and Admissibility of Evidence

Nothing in the PFS Addendum shall be deemed to limit the scope of inquiry at depositions and admissibility of evidence at trial. The scope of inquiry at depositions shall remain governed by the Federal Rules of Civil Procedure. The Federal Rules of Evidence shall govern the admissibility of information contained in responses to the PFS Addendum and no objections are waived by virtue of providing information in any PFS Addendum.

8. Deadline for Submission of PFS Addendum

For Plaintiffs whose cases were filed before entry of this Order but the Plaintiff's PFS has not become due pursuant to CMO 8, the PFS Addendum is due at the same time the Plaintiff's PFS is due or 30 days after entry of this Order, whichever date is later. Plaintiffs who have already completed and served a PFS, or whose PFS has already become due, must complete and submit the PFS

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Addendum within 30 days after entry of this Order.

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9. Failure to Serve an Addendum to Plaintiff's Fact Sheet

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a. Notice by Defendants of Overdue Discovery A Plaintiff subject to the PFS Addendum obligations under this Order may be subject to having

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his or her claims dismissed for failing to comply with this Order. If a Plaintiff fails to serve a completed PFS Addendum by the applicable due date set forth herein, any Defendant may serve a

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Notice of Overdue Discovery via MDL Centrality.

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b. Motion to Dismiss Without Prejudice

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after receipt of the Notice of Overdue Discovery, any Defendant may move the court for an Order dismissing the Plaintiff's Complaint Without Prejudice. A Plaintiff subject to such motion shall have

If a Plaintiff subject to this Order fails to serve a completed PFS Addendum within 30 days

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14 days from the date of the Defendants' motion to file a response either (a) certifying that the Plaintiff

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has submitted a completed PFS Addendum or (b) opposing the Defendant's motion for other reasons.

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If a Plaintiff certifies that he or she has submitted a completed Supplemental PFS, the Plaintiff's claims

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shall not be dismissed (unless the Court finds that the certification is false or incorrect).

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c. Motion to Convert Order of Dismissal Without Prejudice to Order of Dismissal with Prejudice

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If the Court dismisses a Complaint without prejudice under the previous paragraph, the Defendant may move the Court no earlier than 30 days after the Court's entry of the Order of Dismissal

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Without Prejudice to Convert the Order to an Order of Dismissal With Prejudice. If the Plaintiff serves

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Defendant's counsel or their designee(s) with a completed PFS Addendum prior to the filing of

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Defendant's motion to convert a dismissal without prejudice to a dismissal with prejudice, the parties

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shall submit a stipulated motion to vacate the dismissal without prejudice.

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III. RETAILER DEFENDANTS' FACT SHEET

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The Court hereby approves and adopts the Retailer Defendants' Fact Sheet attached hereto as *Exhibit 3*. If a Plaintiff, in response to Supplemental PFS question 49, indicates he or she was a

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member of or enrolled in any loyalty or rewards program of a Retailer Defendant named in the Master

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Complaint, the Retailer Defendant(s) indicated therein must submit a completed Retailer Defendants'

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Fact Sheet and documents responsive to the requests therein through MDL Centrality no later than

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sixty (60) days after the Plaintiff serves responses to the Supplemental PFS Questions, whether the submission is through a PFS Addendum or a Revised PFS.

United States Judge

6 Dated: September 21, 2020

IT IS SO ORDERED.

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EXHIBIT 1 to CMO No. 12

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

IN RE JUUL LA	ABS, INC., MARKETING,) MDL No. 2913
SALES PRACT		Case No. 19-md-02913-WHO JUDGE WILLIAM H. ORRICK
This Document	Relates to:	
Plaintiff:	[
Individua	al Case Docket No.: [
	PLAINTIFF FACT SHEET	· PERSONAL INJURY
on whose behalf a and Products Lia are under oath an information and bout this Fact Sheet You may completion of this This Plain Civil Procedure.	a complaint has been filed in the <i>In ability Litigation</i> , MDL No. 13-291 d must provide information that is a belief. Please do not leave any que et in hard copy, use additional sheet and should consult with your attors form.	ney if you have any questions regarding the ry responses subject to the Federal Rules of used for purposes related to this litigation
I. <u>CASE IN</u>	IFORMATION	
1. Case i	name:	
2. Case i	number:	
3. Name	of the court in which the complain	nt was initially filed:
4. Filing	date of the complaint:	

5.	Name	d plaintiff(s) in the complaint:
6.	Name	, firm, and e-mail address of principal attorney(s) representing you:
	Name	:
	Firm:	
	Email	address:
<u>RI</u>	EPRES	ENTATIVE CAPACITY
of res	a mino spond to e comp	completing this questionnaire in a representative capacity (meaning on behalf r, a decedent or a person who lacks capacity to complete it on their own), to the questions below on <u>behalf</u> of the person who used JUUL products. If you leting this Fact Sheet for someone else, assume that "you" means the person JUUL products.
7.		you are completing this Fact Sheet on behalf of someone else (<i>for example</i> , a ceased person, an incapacitated person, or a minor), complete the following:
	a.	Name of individual completing this Fact Sheet
	b.	Your current address
	C.	What is your relationship to the person upon whose behalf you have completed this Fact Sheet? (for example, parent, guardian, Estate Administrator)
	d.	If a court appointed you to act on behalf of a minor, an incapacitated person, or on behalf of the estate of a deceased person, state the court and date of appointment.
	e.	If you represent a decedent's estate, state the date and place of decedent's death

II.

f.	Are you filling out this form on behalf of an individual who is deceased and on
	whom an autopsy was performed? Choose Yes/No:
	If yes, state the following from the autopsy report of the individual: (NOTE: In
	lieu of the following, you may attach a copy of the autopsy report.)
	Date of autopsy:
	Name of physician who performed autopsy:
g.	If you represent a decedent's estate, do you contend that use of JUUL Products ¹ caused or contributed to the decedent's death?
	Choose Yes/No/Unsure at this time/Investigation ongoing:
	- <u></u> -
	If ves, identify the decedent's surviving spouse, parents, and children and

provide their addresses (or the addresses of their attorneys, if applicable) and the age of any surviving children.

Name	Address(es)	Age of any surviving children

¹ "JUUL Products" is defined to include all of JUUL's products, including its vaping device and JUULpods.

III. PERSONAL INFORMATION

Legal Name:	
Other Names by which you have beer otherwise, if any):	n known (maiden name, prior marriages or
Gender Identity:	
Social Security Number:	
Date and Place of Birth (City, State, C	Country):
Date:	<u> </u>
Place of Birth:	
•	resses for the past five (5) years and on including addresses while at college:
Addungs(ng)	
Address(es)	Date
Address(es)	Date to
Address(es)	
Address(es)	to Present
Address(es)	to Present
Address(es)	to Present to Present to to
Address(es)	to Present to Present to Present To Present
Address(es)	to Present to Present to to
Address(es)	to Present to Present to Present to Present to Present to Present
Address(es)	to Present to Present to Present to Present
Address(es)	to Present to Present to Present to Present to Present to Present
Address(es)	to Present to Present to Present to Present to Present to Present to Present
 Address(es)	to Present to Present to Present to Present to Present to Present to Present

	Address(es)	Date
		to
		☐ Present
		to
		☐ Present
		to
		☐ Present
13.	If married or in a domestic partnership information:	p/civil union, provide the following
	Name of spouse/partner:	
14.	Provide the following information abo	out your education from the 7 th grade onward

School(s):

Name of School	City and State	Dates of attendance	Grade(s) completed	Diploma Obtained (Y/N)
		to		
		Present		
		to		
		Present		
		to		
		Present		
		to		
		Present		
		to		
		Present		

Name of School	City and State	Dates of attendance	Grade(s) completed	Diploma Obtained (Y/N)
		to		
		Present		
		to		
		☐ Present		
		to		
		Present		
		Present		
		Present		

Post-Secondary Schools (i.e., College, Trade School, Training Programs, etc.):

Name of School	City and State	Dates of attendance	Degree Awarded, If any	Major or Primary Field
		to		
		Present		
		to		
		Present		
		to		
		Present		
		to		
		Present		

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Name of School	City and State	Dates of attendance	Degree Awarded, If any	Major or Primary Field
		to		
		☐ Present		
		to		
		☐ Present		
		to		
		☐ Present		
		to		
		Present		

IV. <u>EMPLOYMENT HISTORY</u>

15. Complete the chart below detailing your employment history for the past five (5) years.

Name of Employer	City and State Where You Worked	Approximate Dates of Employment (Month/Year	Occupation or Job Title
Employer	Tou Worked	to Month/Year)	Job Title
		to	
		Present	
		to	
		Present	
		to	
		Present	
		to	
		Present	
		to	
		Present	
		to	
		Present	

Name of Employer	City and State Where You Worked	Approximate Dates of Employment (Month/Year to Month/Year)	Occupation or Job Title
		to	
		Present	
		to	
		Present	
		to	
		Present	
		to	
		Present	
		to	
		Present	
		to	
		Present	
		to	
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		to	
		Present	
		to	
		Present	
		to	
		Present	
		to	
		Present	

V.

<u>a USE</u>
Have you used JUUL Products? Choose Yes/No:
If yes, identify whether you used the following JUUL Products (check all that apply). ☐ JUUL Device
☐ JUULpods Do you currently use JUUL Products? Choose Yes/No:
Identify each flavor(s) of JUUL pods and the strength of JUUL pods you have used Strength

19. With respect to the period(s) of your JUUL use, please provide (i) the approximate JUUL start date or re-start date (ii) the State where you started or re-started JUUL; (iii) the approximate date you stopped using JUUL for more than a week, if applicable; and (iv) frequency of using JUUL during each time period, including the approximate number of JUULpods used on average per day or per week.

Approximate Start Date or Re-Start Date	State Where Started or Re-Started	Approximate Date You Stopped Using JUUL (If you stopped)	Frequency of JUULpods used on average (either per day or per week)
		☐ Present	
		Present	
		Present	
		Present	
		Present	
•	e receipts, proof of ucts you have used	purchase, and/or email cor	nfirmation for any of the
Choose Yes	/No:		
•		of of purchase, and/or emose receipts and other sup	
21. Have you ev	er personally purch	ased JUUL Products direct	tly from JUUL Labs, Inc.
online (i.e fr	om juul.com or juu	lvapor.com, as opposed to	another retailer)?
Choose Yes/	No/I do not recall:		
If yes, provide	de the following:		
a. 1	Full name associate	ed with the online JUUL acc	count:
b. 1	E-mail address (es)	associated with the online	JUUL account:

c. Phy	ysical address (es) associated	with the online JUUL account:
22. Did you purcha juul.com or juu		-party websites (<i>i.e.</i> , websites other than
Choose Yes/No	o/I do not recall:	
-	Ty all third-party websites whate dates of purchase:	nere you purchased JUUL products and
Name	Website Address	Approximate Dates of Purchase
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to

Present

23.	Did you purchase JUUL products from retail stores (<i>i.e.</i> , traditional, brick-and-mortar locations such as convenience stores or vaping stores)?
	Choose Yes/No/I do not recall:
	a. If yes , identify all retail stores

Name	Physical Address	Approximate Dates of Purchase
		to
		Present
		to
		Present
		to
		☐ Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present
		to
		Present

	24.	пач	ve you ever u	sed JOOL Products that you did not	personally purchase yoursell?
		Cho	oose Yes/No/	do not recall:	
		a.	•	did you obtain the JUUL Products to Please select as many as are application.	• •
			If other , ple	ase explain:	
VI.	INC	LUD	E OTHER V	OTINE-CONTAINING PRODU APING DEVICES AND E-CIGA ARETTES, CIGARS, CHEWIN	RETTES WITH NICOTINE.
	ETC				
	Α.	Ref	fore JUUL U	se:	
				_	4 6 44 1 1 1 1 1 1 1 1
	25.			nicotine-containing products before	e the first time you used JUUL?
		Cho	oose Yes/No:		
		a.	(a) list each	limiting your answer to the time-penicotine-containing product by brant time-period of use; and (c) frequen	nd name that you used; (b) the
	and Br		of Nicotine- roduct	Approximate Time Period of Product Use	Frequency of Use of Other Nicotine-Containing Product
				to	
				Pres	ent
				to	
				Pres	ent
				to	
				Pres	ent
				to	

Present

Type and Brand of Nicotine- Containing Product	Approximate Time Period of Product Use	Frequency of Use of Other Nicotine-Containing Product
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	cotine-Containing Products Overlan	
	other nicotine-containing products at a you have used JUUL?	any point <u>during the same</u>
Choose Yes/No/I	Not applicable:	_
containing pr containing pr	with respect to the time period that roducts that overlap with your use of a oduct by brand name that you used; (by a your JUUL use; and (c) describe your	JUUL (a) list each nicotine- b) the time-period of use that
Type and Brand of Nicotine- Containing Product	Approximate Time Period of Product Use Overlapping with JUUL Use	Frequency of Use of Other Nicotine-Containing Product
	to	
	Present	
	to	
	Present	

Type and Brand of Nicotine- Containing Product	Approximate Time Period of Product Use Overlapping with JUUL Use	Frequency of Use of Other Nicotine-Containing Product
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
C. <u>Use of Other Nicoti</u>	ine-Containing Products After Usi	ng JUUL:
27. Did you use any oth	er nicotine-containing products after	you stopped using JUUL?
Choose Yes/No/N/A	due to still using JUUL:	
product by bran	er you stopped using JUUL (a) identing and name; (b) the approximate date-rand; and (c) describe your frequency of	ge of use that overlaps with
Type and Brand of Nicotine- Containing Product	Approximate Time Period of Product Use	Frequency of Use of Other Nicotine-Containing Product
	to	
	Prese	nt

to

Present

Type and Brand of Nicotine- Containing Product	Approximate Time Period of Product Use	Frequency of Use of Other Nicotine-Containing Product
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	
	to	
	Present	

VII. ADVERTISING

28.	Did you ever see an advertisement for JUUL before or during the timeframe you were using JUUL Products?
	Choose Yes/No:

a. **If yes**, identify the type of advertising you saw (internet, brochure, TV, billboards, social media, store signs or displays, magazine, etc.).

VIII. OTHER SUBSTANCE HISTORY.

29.	Alc	cohol Consumption
	a.	Do you consume alcohol?
		Choose Yes/No:
	b.	If yes, on average, how much alcohol do you drink?
		Choose 1-5 drinks per week/6-10 drinks per week/10 or more drinks per
		week/Other:
		Explain:
30.	<u>Ca</u>	nnabis, THC, or Marijuana
	a.	Have you used cannabis/marijuana/THC?
		Choose Yes/No:
	b.	If you have used cannabis/marijuana/THC, did you use it before you started using JUUL?
		Choose Yes/No:
		I. If yes , please describe how often:
	c.	If you have used cannabis/marijuana/THC, did you use it during your period of JUUL usage?
		Choose Yes/No:
		I. If yes , please describe how often:
	d.	Please identify the device(s) and/or method(s) for your use or ingestion of cannabis, marijuana, or THC.

	e.	Have you ever vaped cannabis/marijuana/THC with a JUUL Device?
		Choose Yes/No:
	f.	Have you ever used a vape device other than a JUUL device to vape cannabis/marijuana/THC?
		Choose Yes/No:
31.	<u>Otl</u>	ner Substances
	a.	Have you used any other recreational drugs?
		Choose Yes/No:
	b.	If yes, have you ever used a recreational drug two or more times?
		Choose Yes/No:
	C.	If yes, please identify: i) the name of each drug you used two or more times, ii) the period of drug usage in relation to your JUUL usage (check all that apply), and iii) how frequently you use(d) the drug.
		i) Name of Drug:
		ii) Period of drug usage in relation to JUUL usage (check all that apply):
		Before During After
		iii) Frequency of drug usage:
		If you selected "other", please explain:
		i) Name of Drug:
		ii) Period of drug usage in relation to JUUL usage (check all that apply):
		Before ☐ During ☐ After ☐
		iii) Frequency of drug usage:
		If you selected "other", please explain:
		i) Name of Drug:
		ii) Period of drug usage in relation to JUUL usage (check all that apply):
		Before ☐ During ☐ After ☐
		iii) Frequency of drug usage:
		If you selected "other", please explain:

i)	Name of Drug:
ii)	Period of drug usage in relation to JUUL usage (check all that apply):
	Before During After
iii)	Frequency of drug usage:
	If you selected "other", please explain:
i)	Name of Drug:
ii)	Period of drug usage in relation to JUUL usage (check all that apply):
	Before During After
iii)	Frequency of drug usage:
	If you selected "other", please explain:
i)	Name of Drug:
ii)	Period of drug usage in relation to JUUL usage (check all that apply):
	Before During After
iii)	Before During After Frequency of drug usage:
iii)	_
iii)	Frequency of drug usage: If you selected "other", please explain:
i)	Frequency of drug usage:
i)	Frequency of drug usage: If you selected "other", please explain: Name of Drug:
i) ii)	Frequency of drug usage: If you selected "other", please explain: Name of Drug: Period of drug usage in relation to JUUL usage (check all that apply):

inches

IX. MEDICAL BACKGROUND

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Present

Current Height: <u>feet</u>

						
33.	Current Weight	::				
34.	During the seven (7) year period before you began using JUUL through the pres date, identify each of your primary care physicians (such as pediatricians, far medicine doctors or internists) who treated you for any condition.					
Dates of	Treatment	Name and address of healthcare provider	Condition			
	to					
Present						
	to					
Present						
	to					
Present						
	to					
Present						
	to					
Present						
	to					
Present						
	to					

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to	
Present	

Dates of Treatment	Name and address of healthcare provider	Condition
to		
□ p		
Present		
to		
Present		
to		
Present		
to		
Present		
to		
Present		
to		
Present		
to		
Present		
to		
☐ Present		
to		
10		
Present		
to		
Present		

35. During the seven (7) year period before you began using JUUL through the present date, identify all hospitalizations and surgeries (including in-patient and outpatient surgeries) for any condition.

Dates of Treatment	Name and address of hospital	Condition
to		
Present		
to		
Present		
to		
Present		
to		
☐ Present		
to		
Present		
to		
Present		
to		
Present		
to		
Present		
to		
Present		
to		
Present		
	23	1

36. List all prescription medications that you have taken during the seven (7) year period before you started using JUUL through the present date.

List all Prescriptions	Approximate Dates of Use	Pharmacy Used
	to	
	Present	
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to Present	List an Prescriptions	Approximate Dates of Use	Pharmacy Used
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to			
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you claim were caused in whole or in part by JUUL products?

Have you sought medical treatment for any injury(ies), illness(es), or condition(s)

X. <u>INJURIES, ILLNESSES AND CONDITIONS</u>

Choose Yes/No: _____

37.

Injury, Illness, or Condition	Check all that apply	Approximate date of onset	Is it ongoing? [Y/N]
a. Addiction			
b. Nicotine Poisoning			
c. Behavioral Issues/Mental Health:			
Anger/outbursts			
Mood swings			
• Irritability			
Suicidal thoughts			
Suicidal attempts			
Death by suicide			
• Other (specify):			
d. Cognitive Issues:			
Attention Deficit Disorder			
Learning impairments			
Lack of concentration			
Trouble sleeping			

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Injury, Illness, or Condition	Check all that apply	Approximate date of onset	Is it ongoing? [Y / N]
Other (specify)			
e. Cardiovascular:			
Heart attack			
Other cardiovascular diagnosis (specify):			
f. Death			
g. Neurologic			
Seizures			
Stroke			
h. Respiratory/Lung:			
Acute eosinophilic pneumonia/pulmonary eosinophilia			
Acute interstitial pneumonitis or Acute pneumonia			
Acute respiratory distress syndrome (ARDS)			
• Asthma			
Bronchitis			
Chronic lung problems			
Chronic obstructive pulmonary disease (COPD)			
E-cigarette, or vaping, product use associated lung injury (EVALI)			
• Emphysema			
Lipoid pneumonia			
Lung transplant			
Other specified interstitial pulmonary disease			

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Check all that apply	Approximate date of onset	Is it ongoing? [Y / N]
		that apply date of onset

If you checked any of the injuries, illnesses, or conditions listed under 38(c) - Behavioral Issues/Mental Health, you must complete Authorization A1 - Mental Health, and answer the following question. If you did not check any of these, you do not need to complete Authorization A1 or answer the following question.

39. If you received treatment for any of the injuries, illnesses, or conditions identified in any of the conditions listed under 38(c) - Behavior Issues/Mental Health, please provide the following information:

Name Provider/Facility/ Counselor	Address of Provider/ Facility/Counselor	Approximate Dates of Treatment
		to
		Present

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Name Provider/Facility/ Counselor	Address of Provider/ Facility/Counselor	Approximate Dates of Treatment
Counscion	r acmey/counselor	to
		to
		Present
		to
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		to
		Present

40. As to all other injuries, illnesses, and/or conditions you checked in the chart in response to Question No. 38 above; please separately provide the information requested in the Table below:

Injury, Illness, or Condition	Did you receive treatment? Yes / No	Date range of treatment	Name and address of medical provider (i.e. treating physician(s) and/or healthcare facility(ies))	Narrative / general description of treatment
		□ Present	Name:	
			Facility:	
			Street:	
			City:	
			State: Zip:	
		□ Present	Name:	
			Facility:	
			Street:	
			City:	
			State: Zip:	
		□ Present	Name:	
			Facility:	
			Street:	
			City:	
			State: Zip:	
		□ Present	Name:	
			Facility:	
			Street:	
			City:	
			State: Zip:	
		□ Present	Name:	
			Facility:	
			Street:	
			City:	
			State: Zip:	

Injury, Illness, or Condition	Did you receive treatment? Yes / No	Date range of treatment	Name and address of medical provider (i.e. treating physician(s) and/or healthcare facility(ies))	Narrative / general description of treatment
		to	Name: Facility:	
		☐ Present	Street:	
		Present	City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	
		to		
			Facility: Street:	
		Present	City:	
			State: Zip:	
		to		
			Facility:	
		Present	Street:	
		Trosom	City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	

Injury, Illness, or Condition	Did you receive treatment? Yes / No	Date range of treatment	Name and address of medical provider (i.e. treating physician(s) and/or healthcare facility(ies))	Narrative / general description of treatment
		to	Name:	
			Facility:	
		Present	Street:	
		Tresent	City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
		Tresent	City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
		Tresent	City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
		1100011	City:	
			State: Zip:	

Injury, Illness, or Condition	Did you receive treatment? Yes / No	Date range of treatment	Name and address of medical provider (i.e. treating physician(s) and/or healthcare facility(ies))	Narrative / general description of treatment
		to	Name:	
			Facility:	
		Present	Street:	
		Tresent	City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
		Tresent	City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	

Injury, Illness, or Condition	Did you receive treatment? Yes / No	Date range of treatment	Name and address of medical provider (i.e. treating physician(s) and/or healthcare facility(ies))	Narrative / general description of treatment
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	
		to	Name:	
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		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	
		to	Name:	
			Facility:	
		Present	Street:	
		resent	City:	
			State: Zip:	

Injury, Illness, or Condition	Did you receive treatment? Yes / No	Date range of treatment	Name and address of medical provider (i.e. treating physician(s) and/or healthcare facility(ies))	Narrative / general description of treatment
		to	Name:	
			Facility:	
		Present	Street:	
			City:	
			State: Zip:	
		to	Name:	
			Facility:	
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		resent	City:	
			State: Zip:	
		to	Name:	
			Facility:	
		☐ Present	Street:	
		riesem	City:	
			State: Zip:	

any injuries, illnesses, or conditions?

Have you or anyone acting on your behalf had any discussions with any doctor or other healthcare provider about whether JUUL Products caused or contributed to

41.

Doctor's or h provider's		Address	Approximate Date of Discussion
			to
			Presen
			to
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			to
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			to
			Presen
42.	been billed f	For or paid medical expenses r m was caused in whole or in pa	or government health programs who have related to any injury, illness, or condition art by JUUL Products for which you seek
43.	Are you clai	ming lost wages?	
	Choose Yes	/No:	
	a. If yes , p	rovide the approximate amour	nt of lost wages you are claiming.

XI. FACT WITNESSES

44. Please identify all healthcare providers other than those already identified in this Fact Sheet who possess information concerning your JUUL use and/or your illness(es)/injury(ies) that you or your medical providers assert resulted from or were exacerbated by your JUUL use.

Name	Address	Relationship to You

XII. PRIOR CLAIMS, LEGAL MATTERS, AND MEDICAL COVERAGE

Choose Yes/No: 46. Have you ever filed a claim for Social Security Disability Insurance benefits ("SSDI")? Choose Yes/No: 47. Have you ever filed any other lawsuit? Choose Yes/No: a. If yes, please describe the nature of the lawsuit: XIII. SMOKING CESSATION 48. Did you first use a JUUL Product[1] for smoking cessation? Choose Yes/No/I do not recall: XIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer? Choose Yes/No/I do not recall/Not Applicable:		45.	Have you ever filed a wo	orkers' compensation claim?	
Choose Yes/No: 47. Have you ever filed any other lawsuit? Choose Yes/No: a. If yes, please describe the nature of the lawsuit: XIII. SMOKING CESSATION 48. Did you first use a JUUL Product ^[1] for smoking cessation? Choose Yes/No/I do not recall: XIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?		(Choose Yes/No:		
47. Have you ever filed any other lawsuit? Choose Yes/No: a. If yes, please describe the nature of the lawsuit: XIII. SMOKING CESSATION 48. Did you first use a JUUL Product ^[1] for smoking cessation? Choose Yes/No/I do not recall: XIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?			<u> </u>	nim for Social Security Disability Ins	surance benefits
Choose Yes/No: a. If yes, please describe the nature of the lawsuit: XIII. SMOKING CESSATION 48. Did you first use a JUUL Product ^[1] for smoking cessation? Choose Yes/No/I do not recall: XIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?		(Choose Yes/No:		
a. If yes, please describe the nature of the lawsuit: XIII. SMOKING CESSATION 48. Did you first use a JUUL Product ^[1] for smoking cessation? Choose Yes/No/I do not recall: XIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?		47.	Have you ever filed any	other lawsuit?	
XIII. SMOKING CESSATION 48. Did you first use a JUUL Product ^[1] for smoking cessation? Choose Yes/No/I do not recall: XIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?		(Choose Yes/No:		
48. Did you first use a JUUL Product ^[1] for smoking cessation? Choose Yes/No/I do not recall: XIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?		í	a. If yes, please describ	be the nature of the lawsuit:	
Choose Yes/No/I do not recall: XIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?	XIII.	SMOK	ING CESSATION		
ASIV. RETAILER LOYALTY PROGRAMS 49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?	4	18. D	id you first use a JUUL	Product ^[1] for smoking cessation?	
49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?			Choose Yes/No/I	do not recall:	
Question 23 of the Plaintiff Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to customers by the retailer?	XIV.	RETAI	LER LOYALTY PRO	<u>GRAMS</u>	
a. If yes, for each: identify the retailer, loyalty/rewards program, the names under which you are/were registered for such program, and your membership or identifier number:		;	a. If yes , for each: iden names under which y	tify the retailer, loyalty/rewards prog you are/were registered for such prog	gram, the
Retailer Name of Loyalty/Rewards Program Program Program Program Number for the Program Num	Retaile	er	Loyalty/Rewards	Name(s) Registered in Program	Membership/Identifying Number for the Program
[1] JUJU. Product" is defined to include all of JUJU.'s product, including its vaping device and					

^[1] JUUL Product" is defined to include all of JUUL's product, including its vaping device and JUULpods.

Retailer	Name of Loyalty/Rewards Program	Name(s) Registered in Program	Membership/Identifying Number for the Program

XV. <u>DOCUMENTS AND AUTHORIZATIONS</u>

Plaintiff agrees to produce copies of signed and dated authorizations to the extent applicable within thirty (30) days of the date of service of this Plaintiff Fact Sheet for the releases listed below. Plaintiff agrees that any document request for records to be produced by plaintiff will not preclude defendant from also collecting such records directly from the source pursuant to the signed authorizations.

Attach the following documents to this Fact Sheet, making certain that all releases are signed and dated within 30 days of submission.

- 1. Medical records release (Ex. A)—leave the "To" field blank.
- 2. If you checked any of the injuries, illnesses, or conditions listed under question 38(c) Behavioral Issues/Mental Health, execute the Medical, including Mental Health, records release (Ex. A1)—leave the "To" field blank.
- 3. If you are claiming lost wages, execute the IRS Forms (Ex. B) and Employment release (Ex. C).
- 4. Execute the disability release (Ex. D) if you answered "yes" to question 46.
- 5. Insurance release (Ex. E).
- 6. Federal disclosure (Ex. F).
- 7. If you contend you used JUUL Products while you were in school and it damaged your school performance or grades, please execute the Education release (Ex. G).

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- 8. If applicable, decedent's death certificate and autopsy report.
- 9. If applicable, to the extent you have receipts, or proof of purchase for any JUUL products, please produce copies of those receipts and other supporting documents evidencing the purchase(s).

DECLARATION

I declare under penalty of perjury pursuant to 28 U.S.C. § 1746 that all of the information provided in this Plaintiff Fact Sheet is true and correct, and that I have supplied all the documents requested in this Plaintiff Fact Sheet, to the extent that such documents are in my possession, custody, or control, and that I have supplied the authorizations attached to this declaration. I understand that I am under an obligation to supplement these responses.

Signature	Date	
Name (Printed)		

EXHIBIT 2 TO CMO NO. 12

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

SAL	JUUL LABS, INC., MARKETING,) MDL No. 2913 S PRACTICES, AND) Case No. 19-md-02913-WHO UCTS LIABILITY LITIGATION) JUDGE WILLIAM H. ORRICK
This I	cument Relates to:
	Plaintiff: [] Individual Case Docket No.: []
	PERSONAL INJURY PLAINTIFF FACT SHEET ADDENDUM
Liabil to be used and be questiuse addusting this for a process of the process of	Please provide the following <u>additional</u> information for each individual who has filed a complaint or or ehalf a complaint has been filed in the <i>In Re Juul Labs, Inc., Marketing, Sales Practices, and Products Litigation</i> , MDL No. 13-2913. In completing this Plaintiff Fact Sheet Addendum, you are considered der oath and must provide information that is true and correct to the best of your knowledge, information ef, pursuant to the Declaration you signed with your latest Plaintiff Fact Sheet. <u>Please do not leave any is unanswered or blank</u> . If you are filling out this Plaintiff Fact Sheet Addendum in hard copy, please tional sheets as needed to fully respond. You may and should consult with your attorney if you have any questions regarding the completion of an. This Plaintiff Fact Sheet Addendum constitutes discovery responses subject to the Federal Rules of Civil are. Information provided will only be used for purposes related to this litigation and may be disclosed opermitted by the Protective Order.
·	SMOKING CESSATION
	18. Did you first use a JUUL Product ¹ for smoking cessation?
	Yes: No: I do not recall:
XV.	RETAILER LOYALTY PROGRAMS
	49. For any Retailer Defendant named in the Master Complaint and identified in Question 23 of the Fact Sheet, have you ever been a member of, or enrolled in, a loyalty or rewards program offered to rs by the retailer?
	Yes: No: I do not recall: Not Applicable

 $^{^{1}}$ JUUL Product" is defined to include all of JUUL's product, including its vaping device and JUULpods.

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a. **If yes**, for each: identify the retailer, loyalty/rewards program, the names under which you are/were registered for such program, and your membership or identifier number:

Retailer	Name of Loyalty/Rewards Program	Name(s) Registered in Program	Membership/Identifying Number for the Program

PLAI	NTIFF DECLARATION
	uant to 28 U.S.C. § 1746 that all of the information provided in correct. I understand that I am under an obligation to supplement
Signature	Date
Name (Printed)	
	OR
<u>ATTO</u>	PRNEY VERIFICATION
I verify pursuant to Fed. R. Civ. P. Rul authorized by the Plaintiff.	le 11 that the information provided herein was provided by and
Signature	Date
Name (Printed)	

EXHIBIT 3 TO CMO NO. 12

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

IN RE JUUL LABS, INC., MARKETING, SALES PRACTICES, AND PRODUCTS LIABILITY LITIGATION) MDL No. 2913) Case No. 19-md-02913-WHO) JUDGE WILLIAM H. ORRICK
This Document Relates to:	<u></u>
Plaintiff: [] Individual Case Docket No.: []
RETAILER DEFENDANTS'	FACT SHEET - PERSONAL INJURY
Stores, Inc., and Chevron Corporation ("Retailer D	Walmart Inc., Walgreens Boots Alliance, Inc., Circle K refendants") must complete the Retailer Defendants' Fact ting, Sales Practices, and Products Liability Litigation,

knowledge, information and belief.

This Retailer Defendants' Fact Sheet constitutes discovery responses subject to the Federal Rules of Civil Procedure. Information provided will only be used for purposes related to this litigation and may be disclosed only as permitted by the Protective Order in this litigation.

MDL No. 13-2913 only for the Plaintiffs who submitted affirmative responses identifying that particular Retailer Defendant in either Question No. 49 of the Revised Plaintiff Fact Sheet or Question No. 49 of the Personal Injury Plaintiff Fact Sheet Addendum. In completing this Retailer Defendants' Fact Sheet, Retailer Defendants are under oath and must provide information that is true and correct to the best of your

This RDFS pertains to the following case:
Case caption:
Civil Action No.:
Date that this RDFS was completed:

INSTRUCTIONS

"YOU", "YOUR", or "DEFENDANTS" refers to entity(ies) named as defendant(s) in the case to which responses in this RDFS are provided.

THIS INFORMATION IS PROVIDED PURSUANT TO THE PROTECTIVE ORDER ENTERED IN THIS LITIGATION AND SHALL BE MAINTAINED AS CONFIDENTIAL CONSISTENT WITH THAT ORDER

REQUESTS FOR INFORMATION:

1.	Is Plaintiff's name and/or other identifying information that is provided in response to Question
	49 of the Revised Plaintiff Fact Sheet or Personal Injury Plaintiff Fact Sheet Addendum
	associated with any retailer loyalty or rewards program used to purchase JUUL products?

- 2. If YOUR answer is "Yes" to question 1 above, please provide the following information in the Chart below:
 - (a) Name of Retailer, (b) Account Name; (c) Rewards or Loyalty Number; (d) Date(s) of purchase of JUUL Products; and (e) Type and Quantity of JUUL products purchased.

Name of Retailer	Account Name	Rewards or Loyalty Number	Date of Purchase of JUUL Products Using Rewards or Loyalty Membership	Type and Quantity of JUUL Product(s) Purchased Using Rewards or Loyalty Membership

DOCUMENT REQUESTS

Please produce all documents (any materials that fall within the scope of the applicable rules of civil procedure) that fall into the categories listed below. This request excludes documents generated in connection with this litigation or other litigation brought by this Plaintiff against Retailer Defendant(s):

1. All transaction records with respect to JUUL Products that relate to or refer to Plaintiff in which a loyalty or rewards program membership or subscription was used to purchase the JUUL Products.

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CERTIFICATION

I declare under penalty of perjury purs	suant to 28 U.S.C. § 1746 that all of	the information provided in
this Retailer Defendants' Fact Sheet is true supplement these responses.	and correct. I understand that I	am under an obligation to
Signature	Date	
Name/Title (Printed)		